



## **Johnson Controls Foundation Policies and Guidelines for Making Contributions**

### **OVERVIEW**

The JOHNSON CONTROLS FOUNDATION (Foundation) is organized and directed to be operated for charitable purposes which include the distribution and application of financial support to soundly managed and operated organizations or causes which are fundamentally philanthropic. The Foundation contributes to organizations in the United States that operate not for profit and are recognized by the Internal Revenue Service as tax-exempt institutions.

The Foundation is the beneficiary of the free enterprise system in the form of donations it receives from Johnson Controls, Inc., and the income it earns from invested assets.

It is not the intent of the Foundation to substitute for the role of public institutions and public funds, but to complement it.

The Foundation is administered by an Advisory Board, whose members have the sole discretion, power, duty, and responsibility to determine and designate the charities and recipients and the times, amounts, and methods of distribution and application of Foundation funds.

Among the factors considered in evaluating proposals are the organizations' general structure, objectives, management capacity, its relationship to the community, the population to be served, and its position relative to organizations performing similar functions.

It is expected that all grantees will operate their programs in a manner supportive of equal opportunity objectives.

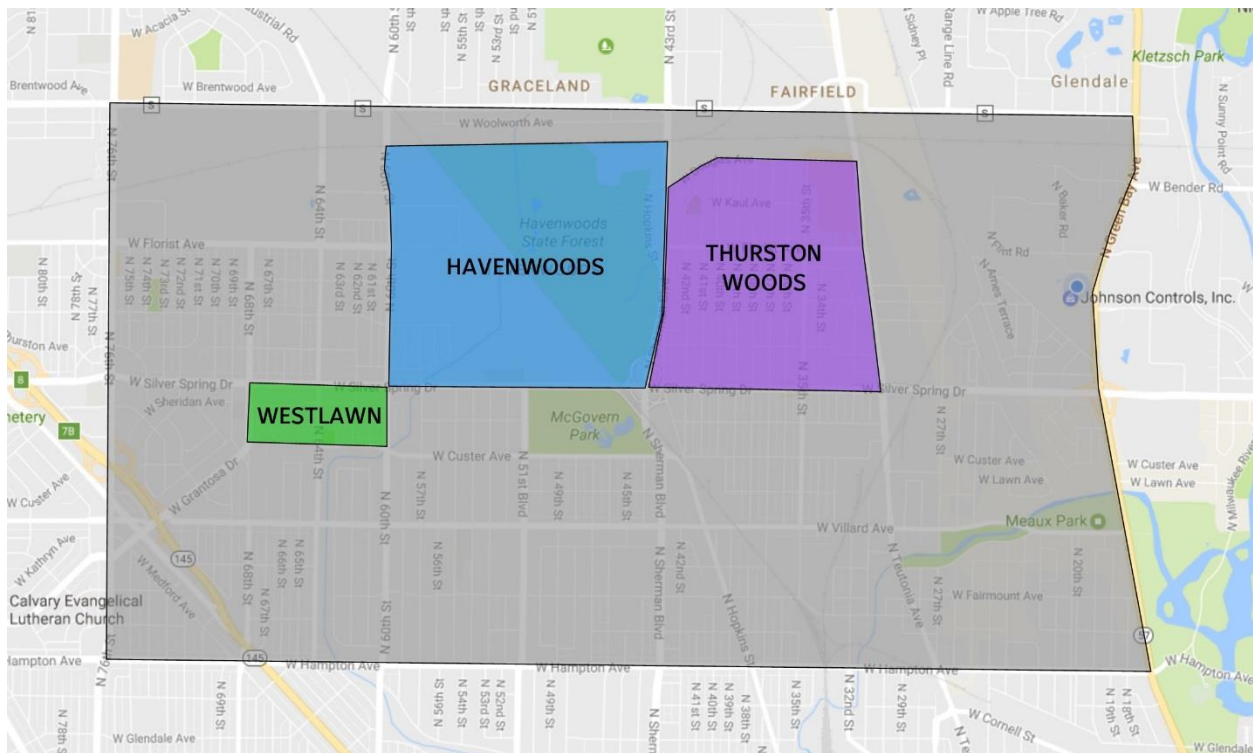
Contributions made in any one year do not necessarily indicate a precedent for future continued giving, or for comparable gifts to similar organizations.

## BASIC FUNDING CRITERIA

The following criteria is taken into account when deciding to make a contribution:

- Meets one or more of the Johnson Controls Foundation funding priorities
- Benefits communities where Johnson Controls, Inc. has operations and employees work and live
- Involves Johnson Controls employee's in either board leadership or volunteer engagement

Special consideration is given to those requests which impact the Milwaukee community identified below:



## **FUNDING PRIORITIES**

### *Health & Social Service*

Assistance to federated drives, e.g., United Way, hospitals, youth agencies and other health and human service agencies.

Ordinarily, operating support of health and social service agencies is reserved for Johnson Controls communities, and generally directed through contributions to United Way.

### *Education*

Contributions in this category will be made to public and private higher educational institutions, adult education and training programs, and education related organizations. Included in this area is the funding of a scholarship program for children of employees of Johnson Controls, Inc.

### *Culture and the Arts*

The Foundation recognizes that arts and cultural activities enrich the quality of life in communities. To assist such programs, it will consider contributions to visual, performing, and literary arts, public radio and television, libraries, museums, and other related cultural activities.

### *Civic Activities*

Assistance to programs in the areas of justice and law, community and neighborhood improvements, the environment, civil rights and equal opportunity, citizenship and safety.

## **GRANTS**

One-time grants and renewals – Most grants awarded are one-time grants. There are no automatic renewals of support given in any one year. Past recipients should submit requests following the normal application procedure outlined each calendar year.

Multi-year grants. Approved grants may specify payment over two or more years. Payments subsequent to the initial payment will only be made upon receipt, at least 45 days prior to the next scheduled payment date, of a written update on the funded program or project and current year financial statements and budget.

## **ADDITIONAL INFORMATION**

It is appropriate to set forth general prohibitions which the Advisory Board follows to assure proper and equitable use of the Foundation's funds in keeping with its policies and purposes, and to assure that allocation of such funds is made within the limits of its financial resources.

Support for certain programs is not to be interpreted as a judgment on the merits of those that do not receive funds at any one time. Rather it is a reflection of the Foundation's belief that adherence to its programs, which are regularly reviewed, leads to the most effective use of its limited resources.

The Foundation will be sensitive to changes in community issues and attitudes, and within the limits of its resources, will address the needs which arise from the emergence of new and different social issues, so that there is a balance between innovation and maintenance of ongoing programs.

This statement of policy sets forth the current views of the Foundation with respect to the best use of its assets. It may be revoked or amended in any respect for any reason by the Advisory Board of the Foundation and there can be no assurance that it necessarily represents future policies or activities of the Foundation.

## **EXCLUSIONS AND LIMITATIONS**

- Contributions are limited to organizations that are exempt from taxation under the Internal Revenue Service Code.
- The Foundation shall not participate or intervene in any political campaign on behalf of any candidate for public office, or any political organization. No gifts will be made to any municipal, state, federal agency, or department, or to any organization established to influence legislation.
- No distribution will be made to a private individual for support of personal needs.
- No gifts will be made to sectarian institutions or programs whose services are limited to members of any one religious group or whose funds are used primarily for the propagation of a religion.
- In general, no gifts will be made for testimonial dinners, fund raising events, tickets to benefits, shows, or advertising. Grants are not made to provide monies for travel or tours, seminars and conferences or for publication of books and magazines or media productions.
- Grants are not usually made for specific medical or scientific research projects.
- No gifts will be made to foreign-based institutions; nor will any grants be made to institutions or organizations for use outside of the United States.
- Grants are not made to fraternal orders or veteran groups.
- The Foundation does not donate equipment, products or labor.
- Grants are not made to private foundations or to endowment funds.

## **APPLICATION PROCEDURE**

The Foundation operates on a calendar year. Grant proposals submitted after October 1, will be reviewed the following calendar year. Notification of final action may take up to 120 days. Commitments for funds are not made verbally, and personal visits and phone calls to the Foundation are not encouraged. Grant proposals must be submitted online using the instructions provided below:

### How to Create an Account:

Visit [https://www.grantrequest.com/SID\\_5676?SA=SNA&FID=35004](https://www.grantrequest.com/SID_5676?SA=SNA&FID=35004) and click on “New Applicant?”

### How to Submit a Grant Proposal:

Once you have an account, you will be able to submit a grant proposal application by visiting [https://www.grantrequest.com/SID\\_5676?SA=SNA&FID=35004](https://www.grantrequest.com/SID_5676?SA=SNA&FID=35004).

### How to Access Saved and Submitted Proposals:

Log into your account at [https://www.GrantRequest.com/SID\\_5676?SA=AM](https://www.GrantRequest.com/SID_5676?SA=AM).